

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

DAWSON

Serial No. 09/972,193

Filed: October 9, 2001

For: APPARATUS AND METHODS FOR HANDLING  
TRADING DATA



Atty. Ref.: 2425-14

Group: 2161

Examiner:

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*2161*  
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JAN 31 2002  
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\* \* \* \* \*

January 29, 2002

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**INFORMATION DISCLOSURE STATEMENT**

Attention is directed to the attached UK Search Report for a UK counterpart of this application and each reference cited therein. A PTO-1449 is also attached.

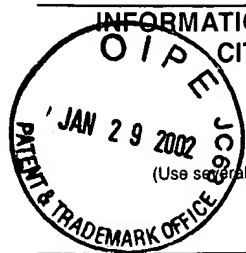
Official consideration and citation of each such reference is requested.

Respectfully submitted,

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INFORMATION DISCLOSURE  
O/P CITATION

ATTY. DOCKET NO.

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APPLICANT

DAWSON

FILING DATE

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## U.S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

[illegible]

**OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)**

[illegible]

\*Examiner

Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.